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U.S. APPLICATION NUMBER NO. 10/595,461	FIRST NAMED APPLICANT Keyue SMEDLEY	ATTY. DOCKET NO. 703538.4058
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INTERNATIONAL APPLICATION NO.

PCT/US04/36542

LA. FILING DATE 11/01/2004	PRIORITY DATE 10/31/2003
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34313
 ORRICK, HERRINGTON & SUTCLIFFE, LLP
 IP PROSECUTION DEPARTMENT
 4 PARK PLAZA
 SUITE 1600
 IRVINE, CA 92614-2558

CONFIRMATION NO. 1023

371 FORMALITIES LETTER



OC000000021346864

Date Mailed: 11/24/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 04/20/2006
- Preliminary Amendments filed on 04/20/2006
- U.S. Basic National Fees filed on 04/20/2006
- Assignment filed on 04/20/2006
- Priority Documents filed on 04/20/2006
- Power of Attorney filed on 04/20/2006
- Specification filed on 04/20/2006
- Claims filed on 04/20/2006
- Abstracts filed on 04/20/2006
- Drawings filed on 04/20/2006

ORRICK IP PROSECUTION

Due Date 11/24/06
 Action Item Resp missing Parts
 Resp Atty KSP
 Docketed by JMS
 Entered 11/27/06 *OW*

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:



Total additional fees required for this application is **\$65** for a Small Entity:

- **\$65 Surcharge.**

Additionally the following defects have been observed:

- The International Search Report has not been supplied, the Search Fee has been adjusted to reflect this omission.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.
<https://portal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at <http://www.uspto.gov/ebc>.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

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PART 1 - ATTORNEY/APPLICANT COPY

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10/595,461	PCT/US04/36542	703538.4058